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ACADEMIC APPOINTMENTS

Cass Business School, City University London
Academic Director, Cass Mergers and Acquisitions Research Centre (expected 07/2015)
Senior Lecturer / Associate Professor of Finance (08/2012 – present)
Lecturer / Assistant Professor of Finance (08/2010 – 07/2012)

AREAS OF INTEREST

Research: Empirical Corporate Finance: Mergers and Acquisitions, Compensation, Governance
Teaching: Financial Management, Corporate Finance, Valuation

RESEARCH

Publications

- “Motivated monitors: the importance of institutional investors’ portfolio weights” with Eliezer Fich and Jarrad Harford, *Journal of Financial Economics* (2015), forthcoming
- “On the importance of golden parachutes” with Eliezer Fich and Ralph Walkling, *Journal of Financial and Quantitative Analysis* 48 (2013), p.1717–1753
- “Stock option grants to target CEOs during private merger negotiations” with Eliezer Fich and Jie Cai, *Journal of Financial Economics* 101 (2011), p.413–430

Papers under revision for resubmission

- “Contractual revisions in compensation: evidence from merger bonuses to target CEOs” with Eliezer Fich and Edward Rice
- “Frenemies: How do financial firms vote on their own kind?” with Aneel Keswani and David Stolin, conditionally accepted at *Management Science*

Working papers

- “Advertising, attention, and acquisition returns” with Eliezer Fich and Laura Starks
- “Blank check acquisitions”
- “Do acquirers benefit from retaining target CEOs?” with Eliezer Fich and Micah Officer
- “Timing stock sales for personal gain: private information and sales of shares by CEOs” with Eliezer Fich and Robert Parrino

TEACHING

- Cass Business School, City University London
- SMM469 *Corporate Finance and Valuation* (MSc)
 - SMM122 *Finance and Investment* (MSc)
 - BS3102 *Financial Management* (undergraduate)
- LeBow College of Business, Drexel University
- FIN301 *Introduction to Finance* (undergraduate)

MEDIA MENTIONS

- Le Monde*, "Vous reprendrez bien un peu de bonus, mon cher..." (Feb 25, 2015)
- Private Equity Findings*, "SPACs: Treasure troves or time bombs" (Feb 11, 2014)
- Fox Business*, "The value in merger bonuses" (Feb 15, 2013)
- Financial News*, "Do CEO merger bonuses reduce takeover premiums?" (Feb 7, 2013)
- Newswise*, "CEOs get merger bonus when selling firms cheaply" (Feb 5, 2013)
- US News*, "Can huge CEO golden parachutes hurt you" (Nov 14, 2012)
- The Chronicle Herald*, "Golden parachutes seem to be rusting" (Jan 15, 2012)
- The Economist*, "Pay-offs for the boss need to be better designed" (Jan 14, 2012)
- The Financial Times*, "Bereichern sich CEOs auf kosten der aktionäre?" (Apr 13, 2011)
- Les Echos*, "Les parachutes dorés peuvent nuire aux actionnaires" (Mar 7, 2011)
- Le Monde*, "Salariés, actionnaires: même combat?" (Mar 6, 2011)
- Actusite*, "Les parachutes dorés pèsent sur la performance des patrons" (Mar 3, 2011)
- News Banques*, "Parachute doré et acquisition" (Mar 3, 2011)
- Mergers & Acquisitions*, "Study: Golden parachutes cheat shareholders" (Jan 14, 2011)
- The Times*, "Golden parachutes cost investors dear" (Jan 14, 2011)
- Bloomberg*, "U.S. chiefs set for big payoffs sell more cheaply, study says" (Jan 13, 2011)
- The New York Times*, "Take my company, please..." (Jan 13, 2011)
- CNBC*, "Do unscheduled options to CEOs during merger talks create perverse incentives?" (Oct 12, 2009)
- The Wall Street Journal*, "Option grants draw scrutiny" (Front page headline, Oct 12, 2009)
- CNBC*, "Should CEOs' buyout options be a securities violation?" (Sep 24, 2009)

EDUCATION

- Ph.D. Drexel University, Philadelphia, Pennsylvania, USA (2010)
- M.B.A. Chapman University, Orange, California, USA (2005)
- B.A. University of Economics (UEH), Ho Chi Minh City, Vietnam (2002)

HONORS AND AWARDS

- Cass Business School Excellent Research Publication Award (2014)
- City University Staff Prize for outstanding achievement as an early career researcher (2013)
- Cass Business School Excellent Research Publication Award (2012)
- Winner of Drexel University Graduate Student Teaching Award Competition (2008)
(out of 64 nominees from all schools and colleges within the university)
- Drexel University International Travel Award (2008)
- Financial Management Association Graduate Student Travel Award (2008)
- Drexel University LeBow College of Business Graduate Assistantship (2005-2010)
- Beta Gamma Sigma Honor Society, Master's (2005), Ph.D. (2007)
- Chapman University Argyros School of Business and Economics Graduate Fellowship (2004-2005)
- Scholarship of Sanwa Bank Foundation (now Bank of Tokyo-Mitsubishi UFJ) (2001)
- University of Economics Ho Chi Minh City Dean's List and Scholarship (1997-2001)
- Top student in the University of Economics Ho Chi Minh City entrance exam (1997)
(out of 5000 admittances and 49,345 candidates)

PROFESSIONAL ACTIVITIES

Research invited for conference presentation at the following meetings: American Finance Association: Denver (2011), Philadelphia (2014); European Finance Association: Bergen (2009), Lugano (2014); Financial Intermediation Research Society: Quebec (2014); Financial Management Association: Dallas (2008), Prague (2008), Reno (2009), New York (2010), Luxembourg (2013), Chicago (2013), Tokyo (2014), Maastricht (2014); European Financial Management: Rome (2014); Eastern Finance Association: Philadelphia (2006), St Petersburg (2008), Washington DC (2009), Miami (2010), Boston (2012), New Orleans (2015); Eastern Economic Association: Philadelphia (2010); Midwest Finance Association: Chicago (2013); Annual Conference on Empirical Legal Studies: USC Law School (2009); Annual Finance Down Under Conference: Melbourne (2010); AFFI/Eurofidai Paris Finance Annual Meetings (2008); Annual IESE/AEFIN Finance: Madrid (2008, 2009); SFA Finance Forum: Segovia (2013), Zaragoza (2014); Paris Financial Management Meeting (2014); Vietnam International Conference in Finance: Ho Chi Minh City (2015); CCGR Conference at the Norwegian School of Management BI (2008); European Center for Corporate Control Studies (2014); Research Conference in recognition of Larry Y. Dann at the University of Oregon (2010); Research Conference focused on Institutional Investors and the Asset Management Industry at the University of Oregon (2013); Michigan State FCU Conference on Institutions and Investments (2013); U.S. Department of Justice, Washington DC (2010)

Research invited for seminar presentation at the following academic institutions: University of Reading (2015); Claremont McKenna College, IE Business School, University of Alabama, University of Texas Austin (2014); City University of Hong Kong, IE Business School, Texas Christian University, Universidad Carlos III, University of Bristol, University of Cambridge, University of Exeter (2013); SKEMA Business School, University of Manchester, University of Nottingham, University of Reading (2012); University of Brisbane, University of New South Wales, University of South Florida (2011); Cass Business School, Erasmus University, ESCP Europe, Fordham University, IESEG School of Management, Indiana University, Sacred Heart University, Syracuse University, Vlerick Leuven Gent Management School (2010); University of Miami (2008)

Member of program committees for the following conferences: European Finance Association: Vienna (2015); Financial Management Association: New York (2010), Atlanta (2012), Istanbul (2012), Chicago (2013), Maastricht (2014); Eastern Finance Association: Washington DC (2009), Miami (2010), Charleston (2011), Boston (2012); European Financial Management: Reading (2013), Rome (2014), Amsterdam (2015); Drexel University Annual Academic Conference on Corporate Governance (2012, 2013, 2014, 2015)

Professional membership: American Finance Association (member), European Finance Association (member), Financial Management Association (member), Higher Education Academy (associate), Vietnamese Finance Association International (Vice President Europe and founding member)

Ph.D. supervision and examinership: Supervisor: Boran Li (third year), Bo Wang (first year); Viva chair for Chiara Banti (2013) and Mesut Tastan (2014); Transfer panel internal examiner for Mesut Tastan (2012) and Valeria Vitkova (2012)

External examinership: University of East London

REFERENCES

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